

MEMO ENDORSED

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

Ralph Hall,
Appellant,

- AGAINST -

Anthony Annucci, et al.
Appellees.

NOTICE AND COMBINED
MOTION TO VACATE
SUMMARY JUDGMENT
BY INDEPENDENT
ACTION (Rule 33)

19 CIV 5521 (KMK)

Ralph Hall, Appellant captioned above say under penalty of perjury that; ON 08/30/2022 THE COURT GRANTED Summary Judgment IN FAVOR OF THE DEFENDANT AS A RESULT OF IMPROPER ANALYSIS, OF WHETHER DEFENDANTS WERE ENTITLED TO FRCP Rule 56 Application. (SEE RILES v. BUCHANAN, 656 F. Appx. 577 (2 Cir. 2016) QUOTING ROSS v. BLAKE, 136 S. Ct. at 1857 (2016))

Accordingly, USDC/SDNY WAS UNABLE TO APPLY Rule 60(b) AS RELIEF FROM JUDGMENT. PRO-SE MOVANT NOW MOVES FOR RELIEF BY "INDEPENDENT ACTION," INsofar AS ESTABLISHED DOCTRINE PERMITS. (SEE MESSINGER v. ANDERSON, 225 U.S. 436, 444 (1912)); CASTRO v. UNITED STATES, 540 U.S. 375, 381-83 (2003))

WHERE THE COURT MEMO ENDORSED DENIALS FOR Rule 60 RELIEF, ENTERED ON 01/25/2024 - AND - 02/16/2024, RELIES ON "THE LAW OF THE CASE DOCTRINE," AND EMPHASIZES THAT, "AN APPELLATE COURT HAS ONCE DECIDED AN ISSUE, THE TRIAL COURT, AT A LATER STAGE OF THE LITIGATION, IS UNDER A DUTY TO FOLLOW THE APPELLATE COURT'S RULING ON THAT ISSUE." (BROWN v. City of Syracuse, 673 F.3d 141, 147 (2d Cir. 2012)) THE LAW OF THE CASE DOCTRINE, HOWEVER, CANNOT PROHIBIT A COURT FROM DISREGARDING AN EARLIER HOLDING (08/30/2022) INDEPENDENT ACTION IS SOUGHT BY PRO-SE MOVANT TO VACATE IMPROPER GRANT OF FRCP Rule 56,

03/01/2024

Ralph Hall

MOTION TO VACATE IMPROPER GRANT
OF SUMMARY JUDGMENT BY INDEPENDENT
ACTION (3 MOORE'S FED. P., 1938; 3267 ET SEQ.)

Ralph Hall say THE GRANT OF SUMMARY JUDGMENT MAY BE VIEWED AS A CONTEMPT FOR PROPER Rule 56 APPLICATION IN THIS CASE. MOTION TO VACATE BY INDEPENDENT ACTION IS AVAILABLE UNDER SDNY AUTHORITY, AS FOLLOWS?

SEE HAZEL-Atlas Glass Co. v. Hartford
Empire Co., 322 U.S. 238 (1944)

WHERE THE COURT IS, "UNDER A DUTY TO FOLLOW THE USCA/2 CIR RULING ON THE ISSUE OF Summary Judgment's" PRECLUSION FROM DEFENDANTS OF AN AFFIRMATIVE DEFENSE. IT MUST BE ADDRESSED UNDER HOLDINGS IN ROSS v. BLAKE, 578 U.S. AT 643-644 (2016) VIA INDEPENDENT ACTION. GRANT OF Summary Judgment FOR DEFENDANTS AMOUNTS TO AN IMPROPER GRANT OF Summary Judgment THAT WAS PRECLUDED UNDER CIRCUMSTANCES INHIBITING PLRA EXHAUSTION REQUIREMENT, RENDERING Summary Judgment APPLICATION UNAVAILABLE. (ANDERSON v. LIBERTY LOBBY, 477 U.S. 242, 248 (1986))

WHERE Rule 60(b) ATTACKED THE INTEGRITY OF THE COURT, (HARRIS v. UNITED STATES, 367 F.3d 74 (2 Cir. 2003)), AND WAS DENIED UNDER HOLDINGS IN BROWN v. CITY OF SYRACUSE, 673 F.3d 141, 147 (2 Cir. 2012) - SUCH AVAILABLE RELIEF EXIST ONLY IN MOTION FOR RELIEF BY INDEPENDENT ACTION.

THE COURT RELIES ON, *WRIGHT V. POOLE*, 81 F. Supp. 3d 280, 286 (SDNY), AS LAW OF THE CASE DOCTRINE BARS THE COURT FROM GRANTING RULE 60 RELIEF. UNDER THAT DOCTRINE WHERE AS HERE. AN APPELLATE COURT HAS ONCE DECIDED AN ISSUE, THE COURT AT A LATER STAGE OF LITIGATION IS UNDER A DUTY TO FOLLOW THE APPELLATE COURT'S RULING ON THAT ISSUE. (EXHAUSTION OF ADMINISTRATIVE REMEDY)

IN THE INSTANT MOTION, THE COURT DID NOT COUNTENANCE "AVAILABILITY AND TEXTUAL EXCEPTION" IN THE CONTEXT OF CONTROLLING LAW. DEFENDANT'S ALLEGED FAILURE TO COMPLY WITH ALL THE GOVERNING RULES PURSUANT TO CORRECTION LAW, SECTION 139, NYCRR, PART 7695 OF TITLE 9 NYCRR, DOCCS DIRECTIVE #4040, SECTION 701.5(d)(3), 701.6(g)(2)(m), 701.8, AND IT IS ALLEGED THAT SUCH FAILURE TO ITS ANALYSIS OF EXHAUSTION OF ADMINISTRATIVE REMEDIES SERVED TO NOT PERCEIVE DEFENDANT'S DEPARTURE FROM FOLLOWING ALL GOVERNING RULES; DEFENDANT'S OWN ACTIONS, ALLEGED, HAS PRECLUDED ANY APPLICATION FOR SUMMARY JUDGMENT VIA SUCH AFFIRMATIVE DEFENSE.

Relative Facts

THE DISTRICT COURT'S RECENT DENIALS OF MOTION FOR RULE 60(b) RELIEF WERE BASED ON THE COURT'S LACK OF POWER TO CHANGE, ADJUST, OR MODIFY A DECISION AT A LATER DATE AFTER THE ISSUE HAD BEEN APPEALED TO THE USCA/2 Cir., AND SUBSEQUENTLY CONDONED BAD LAW THAT RESULTED FROM THE COURT'S FAILURE TO ANALYZE WHETHER THE DEFENDANT VIOLATED OR DEPARTED FROM FOLLOWING THE GOVERNING RULES; SHOULD HAVE BEEN QUESTIONED BY THE COURT, PARTICULARLY WHERE A MATTER OF DISPUTE EXISTED ABOUT EXCEPTIONS TO THE RULE. (Compare

ARMANDS v. SIMONSON, 2016 WL 1257972 at *24 (SDNY-2016) AND HAZEL-ATLASS Glass Co. v. HARTFORD Empire Co. 64 S. Ct. 977 (1944))

THE AVAILABILITY OF ADMINISTRATIVE REMEDY, PRE-SUPPOSED, OR IMAGINED UNDER THESE CIRCUMSTANCES REQUIRES A BALANCE BETWEEN LAW AND JUSTICE.

HAD THE COURT EXERCISED ITS prerogative prior to granting its 24 page Opinion and Order of 2022 which granted Summary Judgment for Defendants without first determining the Defendants compliance with "All" Directive 4040 Rules that govern the processing of the grievance proceedings. Only after the analyzing of Defendants compliance with 4040 would the court have justification or reason to verify that Defendants may be entitled to Summary Judgment. Assumption that Defendants were in compliance with Directive in this case is a "negative". Such assumption was not within the court's authority. Contrarily, the court is empowered to judicially notice its own error. The proper motion to do this would be this instant paper.

INMATE GRIEVANCE WAS INITIALLY FILED
 ON 12/05/2017 AT GRIEVANCE NO.
 GH-8829717-CONCERNING THREATS/
 RETALIATION FOR PRIOR GRIEVANCE. THAT
 PARTICULAR GRIEVANCE WAS FILED AT THE
 RECOVERY STAGE OF THE FIRST OF A
 TWO PART OPERATION FOR BI-LATERAL HIP
 REPLACEMENT. AT THE RECOVERY STAGE
 OF THE SECOND PART OF THE SURGERY,
 DEFENDANT DID NOT FILE A SECOND
 GRIEVANCE FOR THE CONTRACTION OF AN
 INCURABLE AND LIFE ALTERING, FLESH
 EATING DISEASE CALLED MERSA, OR STAPH
 INFECTION; A FORESEEABLE INFECTION
 THAT WAS PREVENTED BY CLEANSING
 THE OPEN WOUND AND CHANGING THE
 DRESSING WITH STERILE BANDAGES.
 ALLEGEDLY, THE DEFENDANTS FAILED OR
 REFUSE TO DO THIS UNTIL AFTER IT
 WAS FOUND THAT THE UNHYGIENIC
 MEDICAL CARE HAD RESULTED IN THE
 CONTRACTION OF THE INCURABLE DISEASE.
 A "SECOND" grievance was NOT FILED
 BECAUSE THE "FIRST" medical grievance
 WAS NOT TIMELY PROCESSED UNDER THE
 GOVERNING RULES FOR PROCESS GRIEVANCE.

DEFENDANT'S FAILURE TO FOLLOW "ALL" governing rule represented by DOCS Directive No. 4040 for processing procedures concerning the required exhaustion of administrative remedy prior to filing inmate grievances, precluded defendants from applying and using summary judgment as an affirmative defense. (see FRCP Rule 56) (also see, "exception" to the PLRA mandatory exhaustion requirement at ruling or controlling U.S. Supreme Court holdings in ROSS v. BLAKE, 578 U.S. at 643-44 (2016). The availability of administrative remedy was not properly included in the 24 page Opinion and Order entered by this court on 08/30/2022, Docket No. 187 and 188. Such availability of administrative remedy must be determined before a proper grant for summary judgment can be made. (JOHNSON v. UNIVERSITY OF ROCHESTER MEDICAL CENTER, 642 F.3d 121, 125 (2 Cir. 2011); WILLIAMS v. PRIATINO, 829 F.3d 118, 123-127 (2 Cir. 2016)); (also, HARVEY v. CORRECTIONAL OFFICERS, 1 through 6, 612 F. Appx. 35, 37 (2 Cir. 2015))

PLAINTIFF-PETITIONER CLAIMS THE ERROR IN GRANT OF SUMMARY JUDGMENT FOR THE DEFENDANTS WAS UNCONSTITUTIONAL AND IN VIOLATION OF BOTH FRCP RULE 56 AND CONTROLLING LAW. DEFENDANTS AND THE COURT IN ITS 24 PAGE OPINION AND ORDER BASED ITS GRANT OF SUMMARY JUDGMENT FOR THE DEFENDANTS UPON THE ABSENCE OF SPECIFIC CIRCUMSTANCES WHICH IS NO LONGER THE APPROPRIATE ANALYSIS AFTER ROSS. HOWEVER, "DELAY IN THE PROCESS OF GRIEVANCES" DOES CONSTITUTE "UNAVAILABILITY." (BERKLEY v. WARE, 2018 WL 3736791 (NDNY-2018) ADOPTED 2018 WL 3730173 (NDNY-2018); SEE ALSO, HENDERSON v. ANNUCCI, 2016 WL 3039687 AT *10 (WDNY 2016))

EXHAUSTION OF ADMINISTRATIVE REMEDIES:

THE PRISON LITIGATION REFORM ACT (PLRA) 42 USC 1997 REQUIRES AN INMATE TO EXHAUST "ALL" AVAILABLE ADMINISTRATIVE REMEDIES PRIOR TO BRINGING A FEDERAL CIVIL RIGHTS ACTION. THE EXHAUSTION

Requirement Applies to All inmate suits about prison life, whether they involve general circumstances or particular episodes, and regardless of the subject matter of the claim. (See Quamo v. Goord, 380 F.3d 670, 675-76 (2 Cir. 2004) citing Porter v. Nussle, 534 U.S. 516, 532 (2002)). Inmates must exhaust their administrative remedies even if they are seeking only money damages that are not available in prison administrative proceedings.

The failure to exhaust is an "affirmative defense" that must be raised by the defendants. (Jones v. Bock, 549 U.S. 199, 216 (2007); Johnson v. Testman, 380 F.3d 691, 695 (2 Cir 2004)) As an affirmative defense, it is the defendant's burden to establish that plaintiff failed to meet the exhaustion requirement. (Key v. Toussaint, 660 F. Supp. 2d 518, 523 (SDNY-2009))

IN ORDER TO EXHAUST, PROPERLY, ADMINISTRATIVE REMEDIES, THE UNITED STATES SUPREME COURT HAS HELD THAT THE INMATE MUST COMPLETE THE ADMINISTRATIVE REVIEW PROCESS IN ACCORDANCE WITH THE APPLICABLE STATE RULES. (JONES v. BOCK, 549 U.S. AT 218-219, CITING WOODFORD v. NGO, 548 U.S. 81 (2006))

THE GRIEVANCE PROGRAM IN NEW YORK IS A THREE-TIERED PROCESS. THE INMATE MUST FIRST FILE A GRIEVANCE WITH THE INMATE GRIEVANCE RESOLUTION COMMITTEE (IGRC). AN ADVERSE DECISION OF THE IGRC MAY BE APPEALED TO THE SUPERINTENDENT OF THE FACILITY. ADVERSE DECISIONS AT THE Supt.'s LEVEL MAY BE APPEALED TO THE CENTRAL OFFICE REVIEW COMMITTEE (CORC).

Availability of Administrative Remedy:

THE COURT IN ITS ANALYSIS OF DEFENDANT'S MOTION FOR SUMMARY JUDGMENT DID NOT CONSIDER WHETHER ADMINISTRATIVE REMEDIES WAS AVAILABLE.

IF THE COURT HAD CONSIDERED THAT FACTOR. IT WOULD HAVE DETERMINED DEFENDANTS WERE PRECLUDED FROM APPLYING AN AFFIRMATIVE DEFENSE OR, SUMMARY JUDGMENT MOTION WOULD HAVE BEEN DENIED OR, DISMISSED.

"Availability," AS REFERRED TO IN ROSS v. BLAKE, 136 S. Ct. at 1857 (2016) AND, QUOTING RILES v. BUCHANAN, 656 Fed. Appx. 577 (2 Cir. 2016) QUOTING ROSS; SUCH AVAILABILITY, IN THE CONTEXT OF ADMINISTRATIVE REMEDIES IS VIEWED AS A "TEXTUAL EXCEPTION" TO, MANDATORY EXHAUSTION, AND "ESTOPPEL" HAS BECOME ONE OF THE THREE FACTORS IN DETERMINING SUCH ADMINISTRATIVE REMEDY'S, AVAILABILITY.

UNAVAILABLE ADMINISTRATIVE REMEDY:

THE COURT FAILED TO CONSIDER IN ITS ANALYSIS OF DEFENDANT'S SUMMARY JUDGMENT MOTION, WHETHER ADMINISTRATIVE REMEDY WAS AVAILABLE OR NOT AVAILABLE, UNDER THE SUPREME COURT LANGUAGE AND CONTROLLING LAW IN RILES, supra, QUOTING ROSS AT 1859-60

PRO-SE PETITIONER'S MOTION FOR RELIEF BY INDEPENDENT ACTION IS SUBSTANTIATED BY THE COURT'S 24 PAGE OPINION AND ORDER THAT DOES NOT FIRST ANALYZE THE AVAILABILITY OF ADMINISTRATIVE REMEDY PRIOR TO ITS GRANT OF SUMMARY JUDGMENT FOR DEFENDANTS.

THAT "AVAILABILITY" MUST BE REFERRED TO AS A "TEXTUAL EXCEPTION WHERE DEFENDANTS FAIL TO COMPLY WITH ALL THE GOVERNING RULES FOR PROCESSING INMATE GRIEVANCES. SUCH FAILURE TO FOLLOW ALL THE GOVERNING RULES WOULD ESTOPPEL DEFENDANT FROM EVEN USING AN AFFIRMATIVE DEFENSE.

DEFENDANT'S DEPARTURE FROM THE RULES WOULD, AND ALLEGED DID, PRECLUDE ANY GRANT OF SUMMARY JUDGMENT FOR DEFENDANTS, BY LAW.

PREVAILING FACTS

AN IMPARTIAL REVIEW OF PRO-SE 42 USC 1983 COMPLAINT WOULD AGREE THAT PLAINTIFF'S PLEADINGS INITIALLY ADDRESSED DEFENDANT'S ALLEGATIONS AND CLAIMS THAT PLAINTIFF FAILED TO EXHAUST ADMINISTRATIVE REMEDIES.

DEFENDANT'S VIEWED THE FAILURE TO FOLLOW RULES GOVERNING PROCESS OF GRIEVANCE AS UNAVAILING, PARTICULARLY WHERE GRIEVANCE NO. GH-88297-17 CONCERNING "THREATS" WAS NOT AFFORDED COMPLIANCE WITH DIRECTIVE NO. 4040, SECTION 701.5(d)(3):

"THE CORC SHALL REVIEW EACH APPEAL, RENDER A DECISION ON THE GRIEVANCE, AND TRANSMIT ITS DECISION TO THE FACILITY, WITH REASONS STATED, FOR THE GRIEVANT, THE GRIEVANCE CLERK, THE SUPERINTENDENT, AND ANY DIRECT PARTIES WITHIN 30 CALENDAR DAYS FROM THE TIME THE APPEAL WAS RECEIVED."

ADDITIONALLY, DEFENDANTS DID NOT FOLLOW ALL THE GOVERNING RULES AT: 4040, SECTION 701.6(g)(2)(m):

"EMERGENCIES. THE IGP SUPERVISOR SHALL REFER ANY GRIEVANCE OF AN EMERGENCY NATURE DIRECTLY TO THE APPROPRIATE RESPONSE LEVEL HAVING AUTHORITY TO ISSUE AN IMMEDIATE OR EXPEDITIOUS AND MEANINGFUL RESPONSE. AN EMERGENCY SHALL INCLUDE, BUT IS NOT LIMITED TO, A SITUATION, ACTION, OR CONDITION IN WHICH AN INMATE'S OR AN EMPLOYEE'S HEALTH, SAFETY, OR WELFARE IS IN SERIOUS THREAT OR DANGER. THE SUPERVISOR WILL DETERMINE IF A GRIEVANCE FALLS WITHIN THIS CATEGORY."

FLATTER ESTABLISHED FACTS WERE BROUGHT BY APPELLANT IN HIS COMPLAINT'S RECORD CONCERNING: 4040, SECTION 701.8:

"INITIATE AN IN-HOUSE INVESTIGATION BY HIGHER RANKING SUPERVISORY

"PERSONNEL INTO THE ALLEGATIONS CONTAINED IN THE GRIEVANCE."

PREVAILING FACTS SHOW ALL OF THE ABOVE IDENTIFIED GRIEVANCE PROCESSING PROCEEDINGS WERE NOT FOLLOWED AND SERVED TO THWART THE FILING OF A SECOND MEDICAL GRIEVANCE FOR THE SECOND HALF OF Appellant's TWO-PART BI-LATERAL SURGERY.

DEFENDANT'S OWN ACTIONS RELIEVES GRIEVANT FROM EXHAUSTION REQUIREMENTS UNDER PLRA BECAUSE ADMINISTRATIVE REMEDY WAS NOT AVAILABLE. (SEE TEXTUAL EXCEPTION), (ALSO SEE (ROSS, SUPRA, AER "AVAILABILITY OF ADMINISTRATIVE REMEDY")

PROSE MOVANT'S MOTION FOR RELIEF BY INDEPENDENT ACTION WARRANTS THE COURT'S DISCRETIONARY JUDICIAL NOTICE REGARDING ITS PRIOR ORDER AND GRANT OF SUMMARY JUDGMENT ON ISSUE OF FAILURE TO EXHAUST ADMINISTRATIVE REMEDY.

GROUND(S) ONE:

FOR RELIEF FROM JUDGMENT PURSUANT
TO FEDERAL RULES FOR CIVIL PROCEDURE
RULE 33, BY INDEPENDENT ACTION

SUMMARY JUDGMENT WAS IMPROPERLY
GRANTED IN VIOLATION OF CONTROLLING LAW
CONCERNING THE APPLICATION OF RULE 56.
By MOTION FOR INDEPENDENT ACTION, IT
IS ARGUED THAT THE UNDERLYING
IMPROPER MOTION FILED BY DEFENDANTS
WAS PRECLUDED FROM APPLYING FOR
SUCH AFFIRMATIVE DEFENSE AND
SUMMARY RELIEF ON THE BASIS OF
DEFENDANTS' FAILURE TO COMPLY WITH
CONTROLLING LAWS AND/OR THE
GOVERNING RULES; WHERE DEFENDANTS'
OWN ACTIONS INHIBITED PLRA MANDATORY
REQUIREMENTS, CONCERNING EXHAUSTION
OF ADMINISTRATIVE REMEDY. (SEE
CORRECTION LAW, SECTION 139, AND DOCCS
DIRECTIVE #4040); (COMPARE RILES v.
BUCHANAN, 656 FED. APPX. 577 (2d Cir. 2016)
quoting ROSS v. BLAKE, 578 U.S. at 643-44
(2016))

GRANT OF SUMMARY JUDGMENT UNDER THE CIRCUMSTANCES WAS IMPROPER AND ASSAULTED THE INTEGRITY OF THE COURT FOR FAILING TO PROPERLY ANALYZE WHETHER OR NOT THE DEFENDANTS WERE ENTITLED TO SUCH SUMMARY JUDGMENT APPLICATION. (SEE F.R.C.P. Rule 56); (ALSO SEE ANDERSON V. LIBERTY LOBBY, 477 U.S. 242, 248 (1986); HEMPHILL V. STATE OF NEW YORK, 380 F.3d 680, 686 (2 Cir. 2004); KEY V. TOUSSAINT, 660 F. Supp. 2d 518, 523 (SDNY-2009))


GRANT OF SUMMARY JUDGMENT UNDER THE CIRCUMSTANCES WAS, "FRAUD ON THE PART OF THE COURT." (SEE F.R.C.P. Rule 60(d)(3)); (ALSO SEE JOHNSON V. UNIVERSITY OF ROCHESTER MEDICAL CENTER, 642 F.3d 121, 125 (2 Cir. 2011)); (COMPARE HOBBBS, 788 F.3d at 59 (2 Cir.), BRAMAN V. CLARK, 927 F.2d 698, 704 (2 Cir. 1994))

IN SUM:

MOTION TO VACATE THE COURT'S 08/30/2022
SUMMARY JUDGMENT BY INDEPENDENT ACTION

Should be granted, insofar as established doctrine permits. (FRCP Rule 33); (see also Castro v. United States, 540 U.S. 375, 381-83 (2003) RE: Clerk's Authority to Reclassify, PRO-SE SUBMISSION); (Armando v. Simonson, 2016 WL 1257972 at *24 (SD NY-2016) RE: Court's proper hearing to determine or analyze, exhaustion of administrative remedy); (Hazel-Atlas Glass Co. v. Hartford Empire, Co., 322 U.S. 238 (1944) RE: illustration of motion to vacate by independent action)

Date: 03/01/2024

Respectfully Submitted

 Ralph Hall #05A5367
 GACF
 594 Route 216
 Stannville, NY 12582

CERTIFICATE OF SERVICE

I, Ralph Hall #05A5367 SAY UNDER PENALTY OF PERJURY THAT HE SERVED BY USPS, IN SASE, NOTICE AND COMBINED MOTION TO VACATE SUMMARY JUDGMENT BY INDEPENDENT ACTION (Rule 33) TO:

1. USDC/SDNY
500 PEARL STREET
NEW YORK, NY 10007
(RE: 19 CIV 5521 (KMK))

2. STEPHEN J. YANNI
ASST. SOL. GENERAL
c/o NYS ATT. GENERAL
38 LIBERTY STREET
NYC, NY 10005

DATED, 03/01/2024

Ralph Hall
RALPH HALL #05A5367
594 ROUTE 216
STORMVILLE, NY 12582

R. Hall #2595367
GHAJ
594 Route 216
Stamville, New York
12552

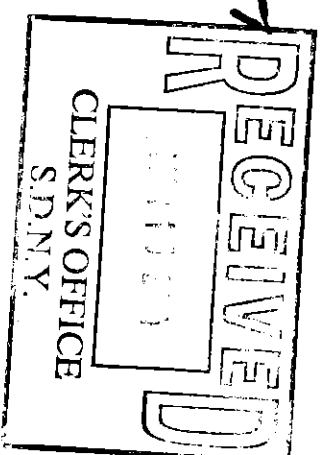
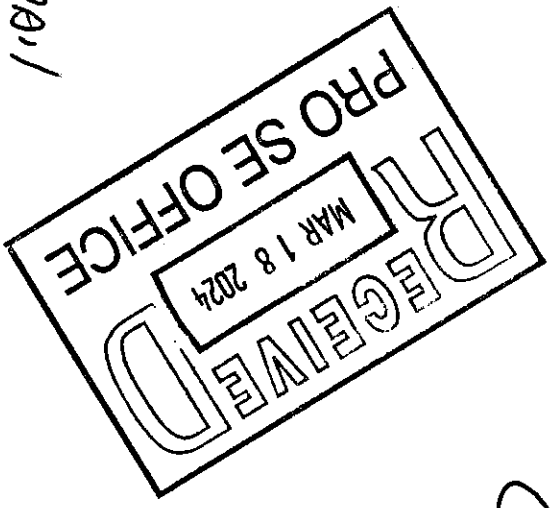
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USMP
SDNY

US, DL / S.B. N.Y.
500 Pearl Street
New York, New York

(Add. Clerk of Court)

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Plaintiff has filed a Motion to Vacate the Court’s Summary Judgment Order “by Independent Action” (the “Motion”). (*See* Dkt. Nos. 209–12.) However, the Court notes that Plaintiff has also filed a Notice of Appeal, in which he appeals the Court’s denials of his motions pursuant to Federal Rule of Civil Procedure 60 for relief from that same summary judgment order. (*See* Dkt. No. 207.)

“As a general matter, the filing of the notice of appeal confers jurisdiction on the court of appeals and divests the district court of its control over those aspects of the case involved in the appeal.” *United States v. Jacques*, 6 F.4th 337, 342 (2d Cir. 2021) (quotation marks and emphasis omitted). “It is not tolerable to have a district court and a court of appeals simultaneously analyzing the same judgment, [that is,] to have situations in which district courts and courts of appeals would both have the power to modify the same judgment[.]” *Id.* (alterations adopted) (quotation marks and citations omitted). Although, in certain situations, clerical corrections may be made to a judgment under appeal, “substantive modifications of a judgment while an appeal is pending” are not permitted. *Id.* at 344.

Accordingly, Plaintiff’s Motion is denied, because the Court lacks jurisdiction to vacate the challenged order and judgment. *See Caldwell v. City of New York*, No. 21-CV-6560, 2024 WL 1586695, at *1 (S.D.N.Y. Apr. 11, 2024) (denying the plaintiff’s motion for reconsideration of the court’s summary judgment opinion on the basis that the plaintiff’s notice of appeal divested the district court of jurisdiction).

The Clerk of Court is respectfully asked to terminate the pending Motion, (*see* Dkt. No. 211), and to mail a copy of this document to Plaintiff.

SO ORDERED.

A handwritten signature in black ink, consisting of stylized initials 'KMK' followed by a horizontal line.

4/29/2024